

AO 440 (Rev. 12/09) Summons in a Civil Action

UNITED STATES DISTRICT COURT

for the

Southern District of New York

ARKANSAS TEACHER RETIREMENT SYSTEM, on  
behalf of itself and all others similarly situated

*Plaintiff*

v.

BANK OF NOVA SCOTIA, et al.

*Defendant*

Civil Action No. 15-cv-5830

SUMMONS IN A CIVIL ACTION

To: *(Defendant's name and address)* (see attached rider)

A lawsuit has been filed against you.

Within 21 days after service of this summons on you (not counting the day you received it) — or 60 days if you are the United States or a United States agency, or an officer or employee of the United States described in Fed. R. Civ. P. 12 (a)(2) or (3) — you must serve on the plaintiff an answer to the attached complaint or a motion under Rule 12 of the Federal Rules of Civil Procedure. The answer or motion must be served on the plaintiff or plaintiff's attorney, whose name and address are:

Gregory Ascioia  
LABATON SUCHAROW  
140 Broadway  
New York, New York 10005  
Tel: (212) 907-0700  
Fax: (212) 818-0477

If you fail to respond, judgment by default will be entered against you for the relief demanded in the complaint. You also must file your answer or motion with the court.

Date: 7/24/2015

The seal of the United States District Court for the Southern District of New York is circular. It features an eagle with spread wings in the center, perched on a shield. The words "UNITED STATES DISTRICT COURT" are written around the top inner edge, and "FOR THE SOUTHERN DISTRICT OF NEW YORK" is written around the bottom inner edge.

CLERK OF COURT

/s/ R. Chambers

*Signature of Clerk or Deputy Clerk*

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Civil Action No. \_\_\_\_\_

**PROOF OF SERVICE***(This section should not be filed with the court unless required by Fed. R. Civ. P. 4 (l))*

This summons for *(name of individual and title, if any)* \_\_\_\_\_  
 was received by me on *(date)* \_\_\_\_\_.

☐ I personally served the summons on the individual at *(place)* \_\_\_\_\_  
 \_\_\_\_\_ on *(date)* \_\_\_\_\_; or

☐ I left the summons at the individual's residence or usual place of abode with *(name)* \_\_\_\_\_  
 \_\_\_\_\_, a person of suitable age and discretion who resides there,  
 on *(date)* \_\_\_\_\_, and mailed a copy to the individual's last known address; or

☐ I served the summons on *(name of individual)* \_\_\_\_\_, who is  
 designated by law to accept service of process on behalf of *(name of organization)* \_\_\_\_\_  
 \_\_\_\_\_ on *(date)* \_\_\_\_\_; or

☐ I returned the summons unexecuted because \_\_\_\_\_; or

☐ Other *(specify)*: \_\_\_\_\_

My fees are \$ \_\_\_\_\_ for travel and \$ \_\_\_\_\_ for services, for a total of \$ \_\_\_\_\_ 0.00.

I declare under penalty of perjury that this information is true.

Date: \_\_\_\_\_

\_\_\_\_\_  
*Server's signature*

\_\_\_\_\_  
*Printed name and title*

\_\_\_\_\_  
*Server's address*

Additional information regarding attempted service, etc:

Bank of Nova Scotia, New  
York Agency  
250 Vesey Street  
New York, New York 10080

Goldman, Sachs & Co.  
200 West Street  
29<sup>th</sup> Floor  
New York, New York 10282

RBS Securities Inc.  
600 Washington Boulevard  
Stamford, Connecticut 06901

BMO Capital Markets Corp.  
3 Times Square  
28<sup>th</sup> Floor  
New York, New York 10036

HSBC Securities (USA) Inc.  
HSBC Tower  
452 Fifth Avenue  
New York, New York 10018

SG Americas Securities, LLC  
1221 Avenue of the Americas  
6<sup>th</sup> Floor  
New York, New York 10020

BNP Paribas Securities Corp.  
787 Seventh Avenue  
New York, New York 10019

Jefferies LLC  
520 Madison Avenue  
10<sup>th</sup> Floor  
New York, New York 10022

TD Securities (USA) LLC  
31 West 52<sup>nd</sup> Street  
New York, New York 10019

Barclays Capital Inc.  
745 Seventh Avenue  
New York, New York 10019

J.P. Morgan Securities LLC  
277 Park Avenue  
New York, New York 10172

UBS Securities LLC  
677 Washington Boulevard  
Stamford, Connecticut 06901

Cantor Fitzgerald & Co.  
499 Park Avenue  
New York, New York 10022

Merrill Lynch, Pierce, Fenner  
& Smith Incorporated  
One Bryant Park  
New York, New York 10036

Citigroup Global Markets Inc.  
390-388 Greenwich Street  
New York, New York 10013

Mizuho Securities USA Inc.  
320 Park Avenue  
12<sup>th</sup> Floor  
New York, New York 10022

Credit Suisse Securities (USA)  
LLC  
11 Madison Avenue  
24<sup>th</sup> Floor  
New York, New York 10010

Morgan Stanley & Co. LLC  
1585 Broadway  
New York, New York 10036

Daiwa Capital Markets  
America Inc.  
Financial Square  
32 Old Slip  
New York, New York 10005

Nomura Securities  
International, Inc.  
309 West 49<sup>th</sup> Street  
Worldwide Plaza  
New York, New York 10019

Deutsche Bank Securities Inc.  
60 Wall Street  
4<sup>th</sup> Floor  
New York, New York 10005

RBC Capital Markets, LLC  
3 World Financial Center  
200 Vesey Street  
8<sup>th</sup> Floor  
New York, New York 10281